

Pfizer Global Medical Grants (GMG) supports the global healthcare community's independent initiatives (e.g., research, quality improvement or education) to improve patient outcomes in areas of unmet medical need that are aligned with Pfizer's medical and/or scientific strategies.

Pfizer's GMG competitive grant program involves a publicly posted Request for Proposal (RFP) that provides detail regarding a specific area of interest, sets timelines for review and approval, and uses an expert review panel (ERP) to make final grant decisions. Organizations are invited to submit an application addressing the specific gaps in research, practice or care as outlined in the specific RFP.

For all Investigator Sponsored Research (ISRs) and general research grants, the grant requester (and ultimately the grantee) is responsible for the design, implementation, sponsorship, and conduct of the independent initiative supported by the grant, including compliance with any regulatory requirements. Pfizer must not be involved in any aspect of study protocol or project development, nor the conduct or monitoring of the research program.

# **Competitive Grant Program Eligibility**

| Geographic Scope               | Global   |
|--------------------------------|--|
| Applicant Eligibility Criteria | <ul> <li>Only organizations are eligible to receive grants, not individuals or medical practice groups.</li> <li>The applicant (PI) must have a medical or postdoctoral degree (MD, PhD, or equivalent), an advanced nursing degree (BSN with a MS/PhD), or a degree in Pharmacy, Physiotherapy, or Social Work.</li> <li>Applicant must be affiliated with a host institution.</li> <li>Both early career and experienced investigators are encouraged to apply and consideration will be given to all proposals meeting the selection criteria.</li> </ul> |

# Requirements

| Date RFP Issued        | • September 20, 2021   |
|------------------------|--|
| Clinical Area          | Obesity  |
| Area of Interest Focus | The following types of research will be considered in-scope:  a. Basic science, pre-clinical research, and clinical research that aligns with the in-scope research topics.  b. Animal experimentation related to human biology.  c. Physiological, cellular, molecular and translational research   |
|                        | In-scope research includes:  |
|                        | Pathophysiology and biology of overweight and obesity:  a. Genetics and epigenetics of overweight and obesity b. Biomarkers and inflammation/evaluation of circulating biomarkers as predictors of obesity c. Adipose tissue regulation and body composition d. Relationships between obesity and psychiatric disorders e. Understanding weight "set point" f. Physiology of the weight-reduced and weight-increased states g. Mechanistic basis for setting and defending the targeted fat mass h. Biological and clinical subtyping of obesity |





- Prediction of response to anti-obesity therapies (e.g., clinical, genetic, biomarkers)
- j. Effects of obesity on aging

#### **Understanding Obesity as a disease:**

- a. Obesity phenotypes, clinical presentations and trajectories of weight change
- b. Obesity complications prevalence, costs, burden, impact on QoL, well-being
- c. Social determinants of obesity
- d. Validation of imaging technology to accurately assess obesity
- e. Further informing the public health and policy agenda
- f. Assessment and validation of markers of obesity severity, progression, development of complications

# Utilizing retrospective databases, generate evidence of optimal management of obesity and co-existing comorbid conditions, such as:

- a. Obesity in patients with heart failure
- b. Obesity in patients with renal disease
- c. Obesity in patients with metabolic and chronic diseases
- d. Obesity in patients with cardiovascular disease
- e. Obesity in psychiatric diseases
- f. Obesity in patients with orthopedic or other musculoskeletal diseases
- g. Obesity in patients with physical disabilities
- h. Obesity in patients after solid organ transplantation

#### Out of scope research includes:

- Studies involving investigational products
- Any clinical or pre-clinical study involving the use of therapeutics, including GLP-1 RA and/or anti-obesity drugs
- Studies requesting use of Pfizer investigational drugs/pure compound
- Retrospective database analysis of a specific drug; analysis of a drug class (i.e. GLP-1 RA class) is permissible
- Highly invasive studies and studies that may have an ethical concern
- Replication of existing studies (i.e., lack of innovation)
- Pharmacoeconomic analyses

# Expected Approximate Monetary Range of Grant Applications

- Individual projects requesting up to USD \$250,000 will be considered.
   Pfizer anticipates a total fund of USD \$1,000,000.
- Research is expected to be completed and submitted for presentation/publication within 3 years of study start. Smaller, highquality, innovative grant requests with anticipated results within 12-18 months will be enthusiastically considered
- The amount of the grant Pfizer will be prepared to fund for any project will depend upon the expert review panel's evaluation of the proposal and costs involved and will be stated clearly in the approval notification.





| Key Dates         | RFP release date: September 20, 2021  |
|-------------------|---|
|                   | <ul> <li>Letter of Intent (LOI) due date: November 5, 2021</li> </ul>   |
|                   | [Please note the deadline is 23:59 Eastern Time (New York, GMT -5).]  |
|                   | Review of LOIs by ERP: January 2022   |
|                   | Anticipated LOI Notification Date: By end of January 2022   |
|                   | Full Proposal Deadline: *February 2022  |
|                   | *Only accepted LOIs will be invited to submit full proposals  |
|                   | [Please note the deadline is 23:59 Eastern Time (New York, GMT -5).]  |
|                   | Review of Full Proposals by ERP: April 2022   |
|                   | Anticipated Full Proposal Notification Date: May 2022   |
|                   | Processing time may take longer for organizations outside of the U.S  |
|                   |   |
| How to Apply      | Please go to <a href="https://www.cybergrants.com/pfizer/loi">www.cybergrants.com/pfizer/loi</a> and sign in. First-time users should click "Create your password". [Note: there are individual portals for each grant application type (e.g., knowledge, LOI, research full proposal, and QI full proposal). Please be sure to use the URL above.] |
|                   | Click the "Start a New LOI" button.   |
|                   | Requirements for submission:  |
|                   | For the question "Competitive Grant?" select Yes  |
|                   | <ul> <li>Select the following Competitive Grant Program Name: 2021/2022 IM</li> <li>G: Obesity ASPIRE</li> </ul>  |
|                   | <ul> <li>Complete all required sections of the online application. See Appendix         A for additional details     </li> </ul>  |
|                   | <ul> <li>If you encounter any technical difficulties with the website, please click<br/>the "Technical Questions" link at the bottom of the page.</li> </ul>  |
| Questions:        | <ul> <li>If you have questions regarding this RFP, please direct them in writing<br/>to the Grant Officer, Jessica Romano (jessica.romano@pfizer.com),<br/>with the subject line "2021/2022 Global Obesity ASPIRE."</li> </ul>  |
|                   | <ul> <li>Please click <u>here</u> to view Frequently Asked Questions regarding the<br/>Competitive Grant Program.</li> </ul>  |
| Grant Agreements: | <ul> <li>If your grant is approved, your institution will be required to enter into<br/>a written grant agreement with Pfizer. Please click <u>here</u> to view the core<br/>terms of the agreement.</li> </ul>   |
|                   | <ul> <li>Pfizer has drafted the terms of these agreements to be balanced and<br/>reasonable and to further the goals of both parties. Negotiating grant</li> </ul>  |





|   | agreements requires significant resources, so please ensure that your institution (including your legal department) is able and willing to abide by these terms before proceeding with submission of your application as they will need to be accepted in their entirety.  |
|---|--|
| Review and Approval Process                     | <ul> <li>Grant requests received in response to a specific RFP are reviewed by<br/>an expert review panel (ERP) to make final grant decisions.</li> </ul>  |
|   | <ul> <li>The panels are comprised of professionals from the medical community<br/>with advanced degrees and expertise in particular clinical areas, or<br/>specific needs of a geographic region/learner group, or expertise in<br/>research, continuing professional development or quality improvement.</li> </ul>   |
|   | The members of the ERP are as follows:   |
|   | <ul> <li>Daniel Drucker, MD (Chair)         Lunenfeld-Tanenbaum Research Institute         Mt. Sinai Hospital, University of Toronto         Toronto, Canada</li> <li>E. Dale Abel, MD, PhD         University of Iowa, Carver College of Medicine         Iowa City, IA</li> <li>Harvey Grill, PhD         Perelman School of Medicine/School of Arts and Sciences of         the University of Pennsylvania         Philadelphia, PA</li> <li>Lee M. Kaplan, MD, PhD         Harvard Medical School         Boston, MA</li> <li>Susan Quaggin, MD         Northwestern University, Feinberg School of Medicine         Chicago, IL</li> <li>Stephen O'Rahilly, MD         University of Cambridge         Cambridge, United Kingdom</li> <li>Rudolph Leibel, MD         Columbia University Medical Center         New York, NY</li> </ul> |
| Mechanism by which Applicants will be Notified: | <ul> <li>All applicants will be notified via email by the dates noted above.</li> <li>Applicants may be asked for additional clarification during the review period.</li> </ul>  |





## Appendix A

### **Letter of Intent Requirements**

The Letter of Intent (LOI) will be accepted via the online application. When answering the LOI questions in the application please keep the following in mind:

| Goals and Objectives               | <ul> <li>Provide the main goal of the study and the study population (if<br/>applicable). Provide a detailed definition that is directly linked to the<br/>primary objective.</li> </ul>  |
|------------------------------------|---|
| Assessment of Need for the Project | <ul> <li>This should reflect your study rationale. Provide a brief description of the<br/>medical/scientific question and the rationale of how this trial or study<br/>addresses the question.</li> </ul>   |
| Target Audience                    | <ul> <li>Describe the primary audience(s) targeted for this project. For<br/>Investigator Sponsored Clinical Trials, please specify the age, gender<br/>and other demographic information for trial population.</li> </ul>  |
|                                    | <ul> <li>Also indicate whom you believe will directly benefit from the project<br/>outcomes. Describe the overall population size as well as the size of your<br/>sample population.</li> </ul>   |
| Project Design and Methods         | <ul> <li>Describe concisely the research design and methods for achieving the<br/>stated goals. For a clinical interventional study, include<br/>inclusion/exclusion criteria, treatment plan and statistical plan.</li> </ul>  |
| Innovation                         | <ul> <li>Explain what measures you have taken to assure that this project idea is original and does not duplicate other projects. Describe how this project builds upon existing work, pilot projects, or ongoing projects developed either by your institution or other institutions related to this project.</li> </ul>   |
|                                    | <ul> <li>Note, the Principal Investigator should not be receiving, and will not receive, funding from other pharmaceutical companies for the submitted research proposal. No other industry-sponsored projects may cover the same work scope as the proposal covering the ASPIRE program. However, an ASPIRE research grant may be related to other funding from foundations or government agencies as long as there is no direct overlap. It is the responsibility of the applicant to justify the novelty of the proposal and provide evidence that the proposal does not overlap with any current or pending funding.</li> </ul> |
| Evaluation and Outcomes            | <ul> <li>Specify type and frequency of safety, efficacy, and/or outcome measures.         Also indicate the method(s) used to assess measures.     </li> <li>Provide a publication plan describing intended submission of abstracts to (a) congress(es) or intended submission of (a) publication(s) to peer-</li> </ul>  |
|                                    | reviewed journals. All publications must follow ICH guidelines.   |





| Anticipated Project    | Provide an anticipated timeline for your project including project start/end   |
|------------------------|--|
| Timeline               | dates.   |
| Additional Information | <ul> <li>If there is any additional information you feel Pfizer should be aware of<br/>concerning the importance of this project, please summarize here.</li> </ul>  |
|                        | <ul> <li>Please describe how your proposal and/or your team seek to promote<br/>diversity, equity, and inclusion in this study.</li> </ul>   |
|                        | <ul> <li>Early-career applicants: Describe the support the applicant will receive<br/>from mentor(s) and collaborators and how the award will advance the<br/>applicant's career. Letter(s) of support will be requested at the Full<br/>Proposal stage.</li> </ul>  |
| Organization Detail    | <ul> <li>This information is used to assess the capability of the organizational<br/>resources available to perform the effort proposed. Identify the facilities<br/>to be used [laboratory, animal, clinical and "other"]. If appropriate,<br/>indicate their capacities, pertinent capabilities, relative proximity and<br/>extent of availability to the project.</li> </ul>  |
| Budget Details         | <ul> <li>A total amount requested is the only information needed for the LOI<br/>stage. Full Budget is not required. This amount can be adjusted at the<br/>Full Proposal stage as applicable.</li> </ul>  |
|                        | The budget amount requested must be in U.S. dollars (USD).   |
|                        | While estimating your budget please keep the following items in mind:  |
|                        | Institutional overhead and indirect costs may be included within the grant request. Examples include human resources department costs, payroll processing and accounting costs, janitorial services, utilities, property taxes, property and liability insurance, and building maintenance as well as additional project expenses such as costs for publication, IRB / IEC review fees, software license fees, and travel. Please note: Pfizer does not provide funding for capital equipment. |
|                        | <ul> <li>Pfizer maintains a company-wide, maximum allowed overhead rate<br/>of 28% for independent studies and projects.</li> </ul>  |
|                        | <ul> <li>The inclusion of these costs cannot cause the amount requested to<br/>exceed the budget limit set forth in the RFP.</li> </ul>  |
|                        | <ul> <li>It should be noted that grants awarded through GMG cannot be used to<br/>purchase therapeutic agents (prescription or non-prescription).</li> </ul>   |



